



Sixty-eighth session

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Report on the activities of the Office of Internal Oversight Services

Activities of the Office of Internal Oversight Services for the period from 1 July 2012 to 30 June 2013

Addendum

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* A/68/150.



Abbreviations and acronyms

AMISOM	African Union Mission in Somalia
BINUCA	United Nations Integrated Peacebuilding Office in the Central African Republic
CTED	Counter-Terrorism Committee Executive Directorate
DESA	Department of Economic and Social Affairs
DFS	Department of Field Support
DGACM	Department for General Assembly and Conference Management
DM	Department of Management
DPA	Department of Political Affairs
DPI	Department of Public Information
DPKO	Department of Peacekeeping Operations
DSS	Department of Safety and Security
ECA	Economic Commission for Africa
ECE	Economic Commission for Europe
ECLAC	Economic Commission for Latin America and the Caribbean
EOSG	Executive Office of the Secretary-General
ESCAP	Economic and Social Commission for Asia and the Pacific
ESCWA	Economic and Social Commission for Western Asia
ICTR	International Criminal Tribunal for Rwanda
ICTY	International Criminal Tribunal for the Former Yugoslavia
ISDR	International Strategy for Disaster Reduction
ITC	International Trade Centre
MINURCAT	United Nations Mission in the Central African Republic and Chad
MINUSTAH	United Nations Stabilization Mission in Haiti
MONUC/MONUSCO	United Nations Organization Mission in the Democratic Republic of the Congo/United Nations Organization Stabilization Mission in the Democratic Republic of the Congo
OCHA	Office for the Coordination of Humanitarian Affairs
OHCHR	Office of the United Nations High Commissioner for Human Rights
OIOS	Office of Internal Oversight Services

OLA	Office of Legal Affairs
OOSA	Office for Outer Space Affairs
OSAA	Office of the Special Adviser on Africa
UNAMA	United Nations Assistance Mission in Afghanistan
UNAMI	United Nations Assistance Mission for Iraq
UNAMID	African Union-United Nations Hybrid Operation in Darfur
UNCC	United Nations Compensation Commission
UNCCD	United Nations Convention to Combat Desertification
UNCTAD	United Nations Conference on Trade and Development
UNDOF	United Nations Disengagement Observer Force
UNEP	United Nations Environment Programme
UNFCCC	United Nations Framework Convention on Climate Change
UNFIP	United Nations Fund for International Partnerships
UN-Habitat	United Nations Human Settlements Programme
UNHCR	Office of the United Nations High Commissioner for Refugees
UNICEF	United Nations Children's Fund
UNICRI	United Nations Interregional Crime and Justice Research Institute
UNIDIR	United Nations Institute for Disarmament Research
UNIDO	United Nations Industrial Development Organization
UNIFIL	United Nations Interim Force in Lebanon
UNIOGBIS	United Nations Integrated Peacebuilding Office in Guinea-Bissau
UNJSPF	United Nations Joint Staff Pension Fund
UNJSPF-IMD	United Nations Joint Staff Pension Fund-Investment Management Division
UNMIL	United Nations Mission in Liberia
UNMISS	United Nations Mission in South Sudan
UNMIT	United Nations Integrated Mission in Timor-Leste
UNMOGIP	United Nations Military Observer Group in India and Pakistan
UNOCI	United Nations Operation in Côte d'Ivoire
UNODC	United Nations Office on Drugs and Crime
UNOG	United Nations Office at Geneva

UNON	United Nations Office at Nairobi
UNOV	United Nations Office at Vienna
UNSCO	Office of the United Nations Special Coordinator for the Middle East Peace Process
UNSCOL	Office of the Special Coordinator for Lebanon
UNSOA	United Nations Support Office for the African Union Mission in Somalia
UNTSO	United Nations Truce Supervision Organization
UNV	United Nations Volunteers programme

I. Introduction

1. The present addendum provides information about the status of implementation of recommendations issued by OIOS. The report is divided into five main sections (II-VI). Section II provides an overall assessment of the implementation of recommendations. Section III provides a breakdown of open recommendations, by United Nations entity. Section IV includes a list of critical recommendations of which the implementation is past due. Section V includes OIOS recommendations with financial implications. Section VI lists all oversight reports issued by OIOS between 1 July 2012 and 30 June 2013.

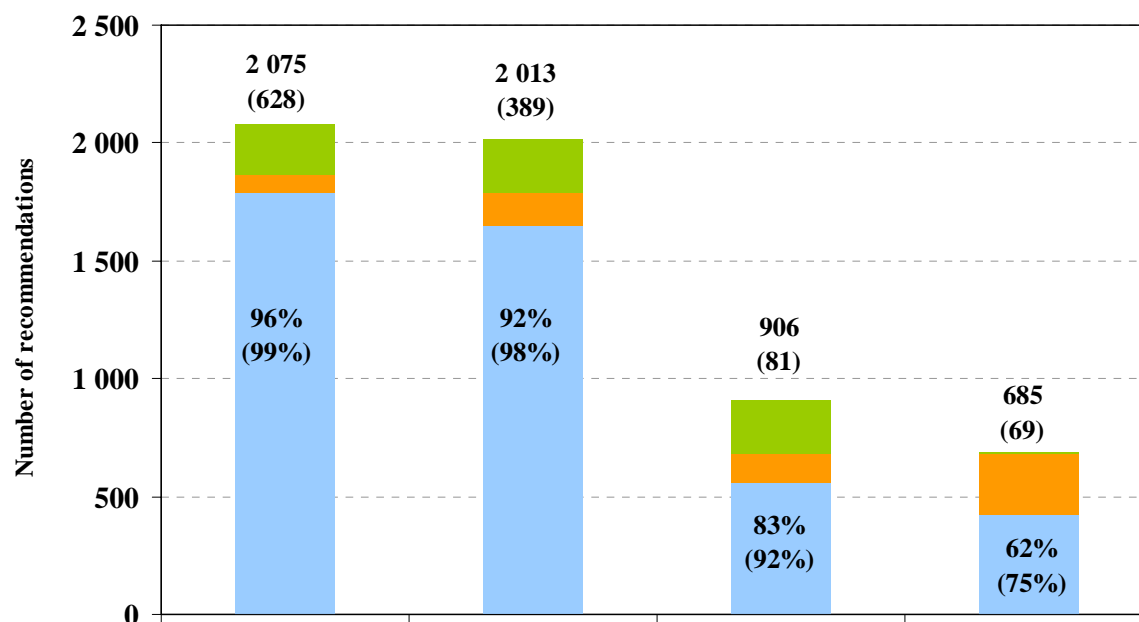
II. Overall assessment of the implementation of recommendations

2. The figure below provides a breakdown of the status of implementation of recommendations issued from 1 January 2009 to 31 December 2012, including the implementation rate for each of these calendar years.¹ During the period from 1 January to 31 December 2012, OIOS issued 685 recommendations to United Nations entities. Of these, 69 (10 per cent) were deemed critical. As at 31 December 2012, programme managers had implemented 421 (62 per cent) of all the recommendations issued during the calendar year, including 50 (75 per cent) of the critical recommendations issued during 2012.²

¹ The most recent follow-up of important recommendations was carried out in January 2013 for recommendations issued as at 31 December 2012, in accordance with the new follow-up schedule established in 2011 (see A/67/297 (Part I)). Critical recommendations due for implementation are followed up on a quarterly basis, therefore their status is as at 30 June 2013 for recommendations issued up to the end of December 2012. The information given in the figure is provided by calendar year to ensure compatibility with past calendar years and to ensure consistency with the performance data used in the senior managers' compacts.

² It is to be noted that the implementation rates of all recommendations and of critical recommendations are based on the total number of implemented recommendations divided by the number of recommendations issued, excluding those that have been closed.

Breakdown of status of recommendations issued from 1 January 2009 to 31 December 2012 as at 30 January 2013, and implementation rates^a



	2009	2010	2011	2012
■ Closed	210 (54)	226 (21)	229 (8)	7 (2)
■ Pending	74 (3)	137 (8)	118 (6)	257 (17)
■ Implemented	1 791 (571)	1 650 (360)	559 (67)	421 (50)

^a Critical recommendations and the corresponding implementation rates are given in parentheses. A recommendation may be “closed” when, inter alia, it is overtaken by events or when management accepts responsibility for residual risk arising from the non-implementation of the recommendation. In addition, “closed” recommendations include those that may have been reclassified as “opportunities for improvement” as a result of OIOS review of all outstanding recommendations in 2011. It is to be noted that the implementation rates of all recommendations and of critical recommendations are based on the total number of implemented recommendations divided by the number of recommendations issued, excluding those that have been closed.

III. Analysis of open recommendations

3. Table 1 provides information about the status of all outstanding recommendations issued up to the end of 30 June 2013 for each United Nations department/office. Of these 1,012 recommendations there were 65 critical recommendations outstanding (6 per cent), the implementation of 36 of which was past due (i.e., the target date had been missed) and of 29 was not yet due. Specific information on critical recommendations whose implementation is overdue, including the management’s response, is provided in section IV.

Table 1
Breakdown of open recommendations by important and critical status, and implementation schedule for all outstanding critical recommendations issued up to 30 June 2013

	<i>Outstanding recommendations</i>			<i>Critical recommendation implementation schedule</i>		
	<i>Total</i>	<i>Important</i>	<i>Critical</i>	<i>Second half of 2013</i>	<i>2014</i>	<i>Past due</i>
BINUCA	7	7	–			
CTED	1	1	–			
DESA	1	1	–			
DFS	99	94	5		3	2
DGACM	3	3	–			
DM	143	130	13	3		10
DPA	12	12	–			
DPI	8	8	–			
DPKO	21	20	1			1
DSS	8	8	–			
ECA	6	6	–			
ECE	2	2	–			
ECLAC	2	2	–			
EOSG	8	7	1	1		
ESCAP	4	4	–			
ESCWA	2	2	–			
Ethics Office	4	4	–			
ICTR	21	17	4	1	1	2
ICTY	1	1	–			
ISDR	1	1	–			
ITC	4	4	–			
MINUSTAH	20	18	2		1	1
MONUSCO	18	16	2	2		
OCHA	18	17	1		1	
OHCHR	19	17	2			2
OLA	1	1	–			
OOSA	1	1	–			
OSAA	7	7	–			
UNAMA	1	1	–			
UNAMI	29	24	5			5
UNAMID	16	11	5	5		
UNCCD	3	3	–			
UNCTAD	8	8	–			
UNDOF	2	2	–			

	<i>Outstanding recommendations</i>			<i>Critical recommendation implementation schedule</i>		
	<i>Total</i>	<i>Important</i>	<i>Critical</i>	<i>Second half of 2013</i>	<i>2014</i>	<i>Past due</i>
UNEP	16	16	–			
UNFCCC	19	18	1			1
UNFIP	2	2	–			
UN-Habitat	19	19	–			
UNHCR	195	188	7	3		4
UNICEF	1	1	–			
UNICRI	6	6	–			
UNIDIR	4	4	–			
UNIDO	1	1	–			
UNIFIL	8	7	1	1		
UNIOGBIS	11	11	–			
UNJSPF	3	3	–			
UNJSPF-IMD	16	15	1			1
UNMIL	30	28	2		2	
UNMISS	20	16	4	1		3
UNMIT	1	1	–			
UNMOGIP	1	1	–			
UNOCI	33	30	3	1	2	
UNODC	25	25	–			
UNOG	21	18	3			3
UNON	48	47	1		1	
UNOV	6	6	–			
UNSCO	2	2	–			
UNSCOL	2	2	–			
UNSOA	17	16	1			1
UNTSO	3	3	–			
UNV	1	1	–			
Total outstanding	1 012	947	65	18	11	36

IV. Critical recommendations the implementation of which is past due

4. Table 2 provides details of critical recommendations the implementation of which is overdue, together with the management's latest update on the progress made to date.

Table 2
Critical recommendations the implementation of which is past due

<i>Entity</i>	<i>Audit title</i>	<i>Recommendation</i>	<i>Report date</i>	<i>Target date</i>	<i>New estimated completion date</i>
DFS	Trust Fund for the support of the activities of MINURCAT (AP2010/636/11)	(4) The Department of Field Support, in consultation with the Office of Programme Planning, Budget and Accounts, taking into account the circumstances that led to control failures in implementing, monitoring and reporting on the Trust Fund for MINURCAT, should: (a) clarify roles and responsibilities between the Controller, the Department and missions for trust funds pertaining to field missions; (b) ensure that the terms of reference for trust funds reflect the clarified roles and responsibilities; and (c) implement adequate monitoring for compliance with the policy provisions. <i>Client response July 2013: Implementation of the recommendation is in progress. The Department of Field Support has submitted the initial review of trust fund administration by Department-supported field operations to the Controller, with a proposal to establish a joint working group to review trust fund roles and responsibilities. The Department will update the terms of reference to reflect the clarified roles and responsibilities upon action by the Office of Programme Planning, Budget and Accounts.</i>	13 June 2011	31 March 2012	31 December 2013

<i>Entity</i>	<i>Audit title</i>	<i>Recommendation</i>	<i>Report date</i>	<i>Target date</i>	<i>New estimated completion date</i>
DFS	Management of peacekeeping air operations (AP2008/600/03)	(2) The Department of Field Support should implement a comprehensive aviation performance management framework to ensure that air operations are effectively and efficiently managed and aviation performance is regularly reported to the senior management of the Department. <i>Client response July 2013: Implementation of the recommendation is in progress. An aviation management system, the “Smart Fleet System”, has been developed and was recently deployed within the Strategic Air Operations Centre, enabling the Centre to analyse operational data in near real time and compare current air fleet performance against applicable key performance indicators. Centre, Air Transport Section and Information and Communications Technology Division/ United Nations Office for Project Services teams are currently developing an aviation data management system.</i>	28 August 2009	31 March 2013	31 December 2013

<i>Entity</i>	<i>Audit title</i>	<i>Recommendation</i>	<i>Report date</i>	<i>Target date</i>	<i>New estimated completion date</i>
DM	Enterprise resource planning project at the Secretariat (AT2009/510/02)	(1) The enterprise resource planning team should update and complete the programme charter, with particular regard to: (a) project approach; (b) strategy on deployment; (c) change management; and (d) integration approach. <i>Client response June 2013: A revised programme plan and timeline chart integrating all the building blocks by project phase and component has been drafted and will be the basis for Umoja ongoing project status monitoring.</i>	23 August 2010	30 June 2012	31 July 2013
DM	Procurement activities at UNON (AA2009/211/02)	(1) The Department of Management should amend the delegation of authority of the Director, Division of Administrative Services, to include procurement for UNEP and UN-Habitat funded from the regular budget. <i>Client response June 2013: In progress. An informal opinion from the Office of Legal Affairs was received on 3 May 2013 reiterating the need for consultation between the Department of Management, the United Nations Office at Nairobi, UNEP and UN-Habitat to clarify these matters.</i>	19 October 2010	30 June 2012	–

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
DM	Audit of non-expendable property at Headquarters (AH2011/513/03)	(3) The Office of Central Support Services should, in conjunction with the Office of the Capital Master Plan, finalize procedures for the handover of non-expendable property acquired under the capital master plan project. <i>Client response May 2013: In progress. A comprehensive policy, including definitions of building components, their useful lives and a valuation methodology in a manner compliant with the International Public Sector Accounting Standards, continues to be in the finalization stage of approval through the Office of Programme Planning, Budget and Accounts and the Board of Auditors.</i>	7 July 2012	30 September 2012	31 December 2013
DM	Management of the delegation of authority (AH2007/510/01)	(1) The Department of Management, in consultation with the Office of Legal Affairs, should review and revise the Secretary-General's bulletin on the organization of the Secretariat to reflect changes that have occurred since its promulgation in 2002. <i>Client response July 2013: The Department has been consulting the Executive Office of the Secretary-General on the revision of the bulletin. The Department will soon be discussing the suggested amendments with the Office of Legal Affairs and it is expected that the new bulletin will be promulgated by the end of 2013.</i>	1 April 2008	31 December 2012	31 December 2013

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
DM	Information and communications technology strategic systems planning and governance structures at the Secretariat (AH2006/513/07)	(13) The enterprise resource planning project steering committee should establish detailed plans for transition arrangements. These should include a Secretariat-wide, criteria-supported policy for the acquisition and development of systems, and the continued funding of ongoing systems development work and the maintenance of existing systems during the enterprise resource planning implementation period. The Secretariat should suspend further investment in new systems until these criteria are endorsed by the Information and Communication Technology Executive Committee to be established under the new information and communications technology strategy (A/62/793). <i>Client response July 2013: In progress. The Office of Enterprise Resource Planning — Umoja will now provide the steering committee with an updated note on the challenges faced in respect of legacy systems, data and parallel transition, which are critical for the Organization at large (such as with regard to the Integrated Management Information System), and key success factors for the implementation of Umoja in the long run.</i>	24 December 2008	31 December 2012	31 August 2013

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
DM	Enterprise resource planning project at the Secretariat (AT2009/510/02)	(6) The enterprise resource planning team should document in the programme charter the list of deliverables for each phase of the enterprise resource planning project. <i>Client response June 2013: A revised programme plan and timeline chart integrating all the building blocks by project phase and component has been drafted and will be the basis for Umoja ongoing project status monitoring.</i>	23 August 2010	31 December 2012	31 July 2013
DM	Selected United Nations human resources management reform initiatives in field missions (AP2010/615/04)	(3) The Department of Management, in coordination with the Department of Field Support, should monitor human resources management reform costs, calculate the financial impact of the contractual reform on the Organization's end-of-service and post-retirement liabilities and bring this to the attention of the General Assembly when reporting on the financial implications of the human resources management reform. <i>Client response June 2013: In progress. This recommendation will be carried out in the context of the report to the General Assembly at its sixty-ninth session.</i>	27 July 2011	30 March 2013	31 December 2014
DM	Non-expendable property at Headquarters (AH2011/513/03)	(1) The Office of Central Support Services should assist departments and offices to develop detailed processes, such as standard operating procedures, to enable them to create, maintain and update their property and inventory control records. <i>Client response May 2013: In progress. The Property Management Unit of the Office of</i>	7 May 2012	31 March 2013	31 December 2013

<i>Entity</i>	<i>Audit title</i>	<i>Recommendation</i>	<i>Report date</i>	<i>Target date</i>	<i>New estimated completion date</i>
		<i>Central Support Services is reviewing current policies, procedures, roles and responsibilities governing the control and management of property, leading towards a property management framework that is fully compliant with the International Public Sector Accounting Standards.</i>			
DM	Non-expendable property at Headquarters (AH2011/513/03)	(2) The Office of Central Support Services should clarify the methodology for conducting the physical verification of non-expendable property to ensure that the results of the exercise can be used to confirm the existence of non-expendable property reported in the financial statements. <i>Client response May 2013: In progress. The Property Management Unit of the Office of Central Support Services has established property management focal points in each department, given that department heads are ultimately responsible for the control and management of their property, with the aim of improving the management and verification of non-expendable property at Headquarters. The Unit will clarify the methodology to be applied to these focal points in a conference scheduled for June 2013 and will provide training programmes thereafter to improve the quality of non-expendable property reporting.</i>	7 May 2012	31 March 2013	31 December 2013

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
DM	Information and communications technology governance and strategic management in the Office of Information and Communications Technology (AT2011/517/01)	(7) The Office of Information and Communications Technology should: (a) complete the enterprise architecture; and (b) put in place mechanisms for monitoring and supporting the adoption of policies, procedures and technical guidelines initiatives, and ensure their adoption Organization-wide, starting from the early stage of information and communications technology strategy initiatives. <i>Client response June 2013: To strengthen institutional capacity, the Office of Information and Communications Technology has recruited an enterprise architect. Progress has been made to implement and institutionalize some components of the enterprise architecture framework. The remaining components of the framework, such as the architectural development methodology, are to be finalized.</i>	24 December 2012	30 June 2013	31 March 2014
DPKO	Integrated operational teams in DPKO (AP2009/600/03)	(8) The Department of Peacekeeping Operations should ensure that the mission concepts combine all components' plans and are adequately developed for all missions. The practice of substituting the mission concepts with the Secretary-General's report to the Security Council should be discontinued. <i>Client response July 2013: The implementation of this recommendation is in progress. The draft mission concept guidance is in an advanced stage of internal consultation.</i>	16 November 2010	30 June 2012	30 September 2013

<i>Entity</i>	<i>Audit title</i>	<i>Recommendation</i>	<i>Report date</i>	<i>Target date</i>	<i>New estimated completion date</i>
ICTR	Transitional arrangements in the International Criminal Tribunal for Rwanda (AA2012/260/03)	(4) The International Criminal Tribunal for Rwanda should ensure that the ongoing renovation work for the records storage facility is completed as a matter of priority to facilitate transfer of the management of archives to the International Residual Mechanism for Criminal Tribunals. <i>Client response July 2013: Partial implementation.</i>	20 May 2013	30 June 2013	–
ICTR	Transitional arrangements in the International Criminal Tribunal for Rwanda (AA2012/260/03)	(5) The International Criminal Tribunal for Rwanda should, in consultation with the International Residual Mechanism for Criminal Tribunals, ensure that a decision is made on whether to complete the refurbishment work or withdraw the plans to establish a detention facility in Senegal. <i>Client response July 2013: In progress.</i>	20 May 2013	30 June 2013	31 October 2013
MINUSTAH	United Nations police operations in MINUSTAH (AP2011/683/05)	(1) MINUSTAH should take additional steps to enhance the capacity of the Haitian national police to conduct criminal investigations by: (a) ensuring adequate coordination between parties responsible for conducting criminal investigations; (b) expediting the technical and substantive training of police officers; (c) liaising with the Government of Haiti and international partners to ensure the availability of basic equipment required for conducting criminal investigations; and (d) establishing a searchable criminal database. <i>Client response July 2013: Activities have been implemented or planned.</i>	24 August 2012	31 December 2012	31 December 2016

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
OHCHR	Two non-governmental organizations in Bangladesh that were granted funding from the United Nations Voluntary Fund for Victims of Torture (AE2009/336/03)	(7) The secretariat of the United Nations Voluntary Fund for Victims of Torture should require Naoga on Human Rights Development Association to submit a report and documentation to support expenditure totalling \$6,000 covered by the 2006 grant. Otherwise, it should request the Association to reimburse the amount of any undocumented or unauthorized expenditure. <i>Client response July 2013: OHCHR will maintain the Association on the list of non-compliant organizations.</i>	29 April 2010	31 December 2012	31 July 2013
OHCHR	Two non-governmental organizations that were granted funding from the United Nations Voluntary Fund for Victims of Torture (AE2009/336/03)	(10) The United Nations Voluntary Fund for Victims of Torture should require both non-governmental organizations to provide a formal explanation for the unreliable financial reports and unsatisfactory supporting documents and should engage an independent local auditor to examine the accuracy and legitimacy of all financial reports and documents submitted. If they do not present a justifiable explanation and properly supported documentation, the Fund should require them to reimburse it for any undocumented expenditure. <i>Client response July 2013: OHCHR will maintain the non-governmental organizations on the list of non-compliant organizations.</i>	29 April 2010	31 December 2012	31 July 2013

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
UNAMI	Business continuity management in UNAMI (AP2010/812/04)	(1) UNAMI management should develop a mission-specific policy and standard operating procedures for business continuity management planning that also clarify responsibility for developing and maintaining the plan. <i>Client response July 2013: Implementation of the recommendation is in progress. The draft business continuity plan has been finalized and submitted for management approval.</i>	17 May 2011	30 June 2012	30 September 2013
UNAMI	Management of engineering projects in UNAMI (AP2011/812/07)	(1) UNAMI should initiate the process for recovering an overpayment of \$632,992 from two contractors. <i>Client response July 2013: Implementation of the recommendation is in progress. UNAMI is working closely with the contract management function at the Global Service Centre at Brindisi to recover any overpayment.</i>	29 November 2012	31 December 2012	31 December 2013
UNAMI	Management of engineering projects in UNAMI (AP2011/812/07)	(2) UNAMI should, in consultation with the Department of Field Support, carry out an administrative review of all contracts managed by the Engineering and Building Management Section with the aim of identifying other overpayments, and initiate action, where appropriate. <i>Client response July 2013: Implementation of the recommendation is in progress. The review of other engineering contracts is continuing.</i>	29 November 2012	31 December 2012	31 December 2013

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
UNAMI	Management of engineering projects in UNAMI (AP2011/812/07)	(4) UNAMI should take appropriate action against Contractor A and Contractor B for claiming payments for work not performed, including documenting it as part of the performance evaluation and informing the Vendor Review Committee accordingly. <i>Client response July 2013: Implementation of the recommendation is in progress.</i>	29 November 2012	31 December 2012	31 December 2013
UNAMI	Management of engineering projects in UNAMI (AP2011/812/07)	(7) UNAMI should, in consultation with the Department of Field Support, put in place appropriate structures and procedures for the management of engineering projects. These include: (a) a project management committee to help in the identification, approval and oversight of projects; (b) experienced managers for projects; and (c) procedures and requirements for monitoring and reporting on the implementation of projects. <i>Client response July 2013: Implementation of the recommendation is in progress. UNAMI is working closely with the Global Service Centre contract management function to enhance controls on the management of engineering projects.</i>	29 November 2012	31 March 2013	31 December 2013
UNFCCC	Information and communications technology governance and security management at UNFCCC (AT2008/241/01)	UNFCCC should formalize its business continuity policy and strategy. <i>Client response July 2013: The Information Technology Services intend to present a draft business continuity strategy and policy document to the Information Technology Management Committee by the third quarter of 2013.</i>	4 September 2009	30 June 2012	30 September 2013

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
UNHCR	UNHCR information technology security relating to PeopleSoft applications (AR2008/166/01)	(1) The UNHCR Division of Information Systems and Telecommunications should, in coordination with the senior management, formulate a comprehensive information security policy to protect UNHCR information assets. <i>Client response June 2013: In progress.</i>	17 October 2008	31 December 2012	–
UNHCR	UNHCR operations in Somalia 2011 (AR2011/112/02)	(1) The UNHCR representation in Somalia should ensure proper selection of implementing partners by: (a) completing the checklist for selection and retention of existing implementing partners, including a risk assessment and use of an implementing partners selection committee; (b) identifying capacity-building activities based on the risk assessment; (c) implementing procedures for monitoring performance of implementing partners; and (d) following up on all recommendations made in the external audit reports of implementing partners. <i>Client response June 2013: New partners are selected and existing ones retained through the implementing partner management committees set up in each of the offices. The committees, consisting of multifunctional teams, held meetings to deliberate on the selection of partners for implementation in 2013.</i>	24 December 2012	31 March 2012	31 October 2013

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
UNHCR	UNHCR operations in Somalia 2011 (AR2011/112/02)	(3) The UNHCR representation in Somalia should establish procedures for cash management in field offices. <i>Client response June 2013: The standard operating procedures are being reconciled and open items are being cleared in a timely manner. Once finalized, the standard operating procedures were shared with all relevant colleagues.</i>	24 December 2012	31 March 2012	31 October 2013
UNHCR	UNHCR operations in Somalia 2011 (AR2011/112/02)	(4) The UNHCR representation in Somalia should implement the required security. <i>Client response June 2013: Various offices have been making substantial progress to comply with the required security standards.</i>	24 December 2012	31 March 2012	31 December 2013
UNJSPF- IMD	UNJSPF Investment Management Division's Front Office — Fixed Income Group (AS2010/801/01)	(8) The Investment Management Division should establish an appropriate benchmark against which to track the performance of the fixed income fund. If a custom benchmark or combination of multiple benchmarks is used, the Division should describe the benchmark creation and rebalancing process. <i>Client response June 2013: The Division accepted the recommendation and initiated actions to address it. It is conducting a benchmark review with a completion target date during the third quarter of 2013.</i>	11 June 2010	31 December 2012	30 September 2013

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
UNMISS	Safety and security of United Nations staff and premises in UNMISS (AP2012/633/03)	(6) UNMISS should: (a) establish a process to ensure the completeness and accuracy of the Mission staff list; and (b) remind Mission personnel of their responsibilities to obtain security clearance prior to travel so that all movements can be monitored. <i>Client response July 2013: The Mission staff lists are maintained in Nucleus, which has in-built monitoring tools to track the movement and location of staff. UNMISS has also issued reminder broadcasts on security clearance procedures to all its personnel.</i>	21 January 2013	15 February 2013	–
UNMISS	Safety and security of United Nations staff and premises in UNMISS (AP2012/633/03)	(3) The designated official for South Sudan should ensure that there is a fully functioning zone warden system and provide wardens with the necessary training and communication equipment to perform their functions effectively. <i>Client response July 2013: The zone warden lists were updated and training provided to the zone wardens. Wardens have been provided with the equipment necessary to carry out their functions.</i>	21 January 2013	31 March 2013	–
UNMISS	Facilities management in UNMISS (AP2012/633/05)	(2) UNMISS should improve the condition of office and accommodation units, common facilities and the surrounding areas. This should include: (a) repairing leaking roofs; (b) bringing ablution units up to an acceptable standard; (c) ensuring that common areas are properly cleaned; (d) providing adequate furniture and equipment to ensure reasonable living	20 May 2013	30 June 2013	–

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
UNOG	Conference services put at the disposal of the Human Rights Council in 2009 (AE2009/312/01)	<p>and working conditions; and (e) enhancing security measures around accommodation units. <i>Client response July 2013: Progress made. UNMISS has established a database for tracking and monitoring maintenance and repair requests, which is updated daily. The Mission has also completed maintenance work on leaking roofs and concrete road strips. Regular inspections of common areas are conducted and meetings held with the cleaning contractor to discuss performance.</i></p> <p>(2) The Division of Conference Management, in consultation with the Department for General Assembly and Conference Management, should develop a strategy that will include the optimal permanent staffing levels required to provide acceptable standards of service. The strategy should take into account the capacity of the Department as a whole, including an assessment of any shortfalls in capacity or excess capacity at other duty stations, such as Nairobi, Vienna and New York, and the use of computer-assisted translation. <i>Client response July 2013: The Division has taken all possible action in view of the fact that the relevant authority rests at the level of the Department for General Assembly and Conference Management. The Department is currently working on a new strategy for documentation</i></p>	30 October 2009	31 December 2012	–

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
UNOG	Conference services funding and costing arrangements at UNOG (AE2010/312/01)	<p><i>processing, which is expected to generate efficiencies that would assist in resolving the capacity shortfall problem that exists at all the duty stations. From 1 July 2013, all Convention on the Elimination of All Forms of Discrimination against Women workload (except for in-session documentation) was transferred to Headquarters.</i></p> <p>(1) The Division of Conference Management should conduct a structured assessment of the conference servicing needs of its clients to justify the requested resources in terms of the requirements of output delivery. <i>Client response July 2013: The Division has been following up on the actions already taken with regard to the critical issues of capacity shortfall relating to the preparations of a comprehensive structured assessment. In addition, further to discussions with OIOS in April 2013 and the proposal that the relevant standard operating procedures be developed for this specific purpose, a first draft was circulated on 12 July for input by all Division services before its adoption.</i></p>	13 December 2011	31 December 2012	–

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
UNOG	Conference services funding and costing arrangements at UNOG (AE2010/312/01)	(2) The Division of Conference Management, in consultation with the Department for General Assembly and Conference Management, should systematically review the gap between budgetary allocations and the estimated resource requirements in order to assess the implications of funding shortfalls. <i>Client response July 2013: The issues relating to the preparation of the budget and budgetary allocations were discussed, and it was decided that the Department duty stations, including Geneva, would be directly involved in the budget-related discussions and decision-making process at the departmental level, which would be the right platform to review and address the gap and shortfalls between budgetary allocations and the estimated resource requirements.</i>	13 December 2011	31 December 2012	–
UNSOA	Safety and security in UNSOA (AP2011/638/03)	(2) UNSOA should provide AMISOM with the equipment and infrastructure necessary to enhance security measures at the AMISOM protected area, and actively liaise with AMISOM to identify and take measures to address deficiencies to minimize the risks to United Nations staff and property. <i>Client response July 2013: Security equipment, infrastructure and measures have been put in place.</i>	8 June 2012	31 December 2012	–

V. Financial implications of recommendations of the Office of Internal Oversight Services

5. Table 3 provides a summary of OIOS recommendations with financial implications. OIOS classifies financial implications into several categories, including loss and waste of resources, recovery, expenditure reduction, additional income and budget reduction.

6. During the reporting period, OIOS identified \$7.9 million in recoveries and expenditure reduction, of which \$968,000 were realized during that period.

Table 3
Financial implications of OIOS recommendations, 1 July 2012-30 June 2013

(United States dollars)

<i>Entity</i>	<i>Assignment number</i>	<i>Description</i>	<i>Estimated amount</i>	<i>Realized amount</i>
DFS	0458/11	Recovery of fraudulent education grant claim	14 484	
DM	AH2011/513/08	Discounts claimed	150 000	
DPA	0051/13	Recovery of costs associated with personal telephone calls		2 118
UNAMI	AP2011/812/07	Recovery of overpayments	633 000	524 000
UNHCR	AR2012/113/04	Recovery of unspent balances	137 537	135 183
	AR2012/121/01	Recovery of overpayments	29 335	29 335
	AR2011/115/02	Recovery of overpayments	28 000	22 000
	AR2012/141/01	Recovery of overpayments	122 000	
	AR2011/112/03	Recovery of overpayments and collection of receivables	81 387	
	AR2011/112/03	Recovery of overpayments	128 166	
	AR2011/110/04	Recovery of overpayments	148 000	
	AR2012/110/01	Recovery of value added taxes paid to the Government	1 500 000	
	AR2012/110/01	Recovery of costs	54 000	32 872
	AR2011/110/02	Recovery of staff advances	386 193	
	AR2012/167/02	Liquidated damages claimed	45 000	
	AR2012/131/01	Recovery of overpayments	205 000	
	AR2011/110/02	Recovery of value added taxes paid to the Government	171 662	
	AR2011/111/02	Recovery of value added taxes paid to the Government	1 286 631	
	AR2011/110/04	Recovery of value added taxes paid to the Government	1 300 000	
	AR2012/112/03	Recovery of costs	51 000	
	AR2012/112/03	Recovery of value added taxes paid to the Government	325 000	
	AR2012/111/01	Recovery of costs	459 339	
	AR2012/111/01	Collection of receivables	164 877	
UNOCI	AP2011/640/09	Recovery of costs	155 000	2 000

<i>Entity</i>	<i>Assignment number</i>	<i>Description</i>	<i>Estimated amount</i>	<i>Realized amount</i>
UNODC	AE2012/366/02	Recovery of value added taxes paid to the Government	80 000	
UNOG	0447/12	Recovery of costs associated with unauthorized telephone and other communication use	47 271	
UNOV	AE2011/321/02	Rebates claimed	221 000	221 000
Total			7 923 882	968 508

VI. Reports issued during the reporting period

7. During the 12-month period from 1 July 2012 to 30 June 2013, OIOS issued 350 oversight reports, including 11 reports to the General Assembly and 100 closure reports. Table 4 provides lists of all the reports issued during the reporting period.

Table 4

Reports issued during the period from 1 July 2012 to 30 June 2013

A. Reports to the General Assembly

<i>Symbol</i>	<i>Date</i>	<i>Subject</i>
A/67/297 (Part I)	10 August 2012	Activities of OIOS for the period from 1 July 2011 to 30 June 2012
A/67/297 (Part I)/Add.1	16 August 2012	Activities of OIOS for the period from 1 July 2011 to 30 June 2012: addendum
A/67/330	24 August 2012	In-depth technical construction audit of the capital master plan
A/67/695	16 January 2013	Comprehensive audit of air travel activities and related practices
E/AC.51/2013/2	7 February 2013	Programme evaluation of UNEP
E/AC.51/2013/3	20 February 2013	Evaluation of OCHA
A/67/297 (Part II)	12 March 2013	Activities of OIOS on peacekeeping operations for the period 1 January to 31 December 2012
A/67/795	15 March 2013	Review of the reporting by United Nations peacekeeping missions on the protection of civilians
E/AC.51/2013/5	25 March 2013	Review of the evaluation capacity of UNHCR
E/AC.51/2013/4	27 March 2013	Evaluation of UNODC
A/68/70	2 April 2013	Strengthening the role of evaluation and the application of evaluation findings on programme design, delivery and policy directives

B. Reports to programme managers issued by the Internal Audit Division

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
BINUCA	1 March 2013	BINUCA	Partially satisfactory	–	12
DESA	28 December 2012	Development account in the Department of Economic and Social Affairs	Partially satisfactory	–	2
DFS	9 August 2012	Acquisition of information and communications technology equipment, software and services for peacekeeping operations	Partially satisfactory	–	7
	11 December 2012	Expansion, reconfiguration and transition of peacekeeping missions	Partially satisfactory	–	8
	28 December 2012	Information and communications technology systems' readiness for implementing the International Public Sector Accounting Standards and Umoja in peacekeeping missions	Unsatisfactory	4	18
	25 March 2013	Management of contingent-owned equipment in peacekeeping operations	Partially satisfactory	–	23
	31 May 2013	Horizontal audit of waste management in field missions	Unsatisfactory	18	42
	21 June 2013	Procurement, administration and management of rations contracts	Partially satisfactory	–	23
DGACM	28 February 2013	Human resources strategy for interpreters and translators in the Department for General Assembly and Conference Management	Partially satisfactory	–	4
DM	22 May 2013	Acquisition and management of system contract No. PD/C0028/05 for the provision of information and communications technology staffing support services	Partially satisfactory	–	3
	24 May 2013	Acquisition and management of contract No. PD/C0165/09 for the provision of information and communications technology staffing support services at Headquarters	Partially satisfactory	–	7
DM (Office of the Capital Master Plan)	20 June 2013	Management of the capital master plan staff reduction strategy	Partially satisfactory	–	1
DM (Office of Central Support Services)	18 September 2012	Reinstatement of suspended oil-for-food programme vendors	Satisfactory	–	–
	24 December 2012	Acquisition and contract management of long-term air charter services agreements	Unsatisfactory	3	8
	17 January 2013	Award and administration of contract No. PD/C0151/10 for the provision of fuel to UNSOA	Partially satisfactory	–	1
	7 February 2013	Review of issues identified in recent oversight reports on procurement activities	–	–	1
	27 March 2013	Acquisition and management of contract No. PD/C0123/10 for the provision of vehicles	Partially satisfactory	–	2

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
DM (Office of Human Resources Management)	27 November 2012	Talent management system (Inspira) at the Secretariat	Partially satisfactory	–	7
DM (Office of Information and Communications Technology)	24 December 2012	Governance and strategic management in the Office of Information and Communications Technology	Unsatisfactory	3	4
DM (Office of Programme Planning, Budget and Accounts)	25 March 2013	Education grant disbursement in the Secretariat	Partially satisfactory	–	6
DM (Office of Enterprise Resource Planning — Umoja)	22 March 2013	Umoja software system implementation	Partially satisfactory	–	8
DPA	9 May 2013	UNIOGBIS	Partially satisfactory	–	14
DPI	22 May 2013	Outreach and knowledge-sharing services subprogramme of the Department of Public Information	Partially satisfactory	–	3
DPKO	14 September 2012	Public Affairs Section in the Department of Peacekeeping Operations/ Department of Field Support	Partially satisfactory	–	8
	26 December 2012	Office of Rule of Law and Security Institutions: Criminal Law and Judicial Advisory Service	Partially satisfactory	–	3
DSS	1 March 2013	Crisis management and the coordination of field security operations	Satisfactory	–	6
ECA	20 July 2012	Technical cooperation project on information and communications technology between the Economic Commission for Africa and the Government of Finland	Partially satisfactory	–	7
	20 July 2012	African Gender and Development Index project at the Economic Commission for Africa	Unsatisfactory	2	3
	10 December 2012	Human resources management at the Economic Commission for Africa	Partially satisfactory	–	6
ESCAP	14 June 2013	Economic and Social Commission for Asia and the Pacific	Partially satisfactory	–	6
ESCWA	24 December 2012	Comprehensive audit of the Economic and Social Commission for Western Asia	Partially satisfactory	–	8
ICTR	14 March 2013	Support services at the International Criminal Tribunal for Rwanda	Unsatisfactory	2	8
	20 May 2013	Transitional arrangements for the International Criminal Tribunal for Rwanda	Unsatisfactory	4	1
ICTY	30 August 2012	Procurement and contract management at the International Criminal Tribunal for the Former Yugoslavia	Satisfactory	–	1

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
	2 January 2013	Asset management at the International Criminal Tribunal for the Former Yugoslavia	Partially satisfactory	–	4
International Court of Justice	14 March 2013	Advisory engagement relating to the procurement and contract management processes of the International Court of Justice	–	–	–
ITC	18 July 2012	International Trade Centre Netherlands Trust Fund phase II project on creating sustainable exporter competitiveness in the coffee sector in Uganda	Partially satisfactory	–	10
MINUSTAH	24 August 2012	United Nations police operations in MINUSTAH	Unsatisfactory	2	3
	5 November 2012	Recruitment activities in MINUSTAH	Partially satisfactory	–	3
	25 March 2013	Fuel management in MINUSTAH	Partially satisfactory	–	7
MONUSCO	24 August 2012	Human rights programme in MONUSCO	Partially satisfactory	–	3
	24 August 2012	Local committee on contracts in MONUSCO	Satisfactory	–	2
	10 December 2012	Air travel activities in MONUSCO	Satisfactory	–	2
	18 December 2012	Implementation of aviation contracts in MONUSCO	Satisfactory	–	1
	8 May 2013	Fuel management in MONUSCO	Partially satisfactory	–	5
OHCHR	26 November 2012	OHCHR arrangements for the development of methodologies and training for human rights activities	Partially satisfactory	–	4
	10 December 2012	Administrative management in OHCHR field offices in Europe and Central Asia	Partially satisfactory	–	3
	9 May 2013	OHCHR Regional Office for the Middle East	Partially satisfactory	–	5
UNAMA	23 July 2012	Development Coherence Unit in UNAMA	Satisfactory	–	1
	4 December 2012	Safety and security in UNAMA	Satisfactory	1	–
	28 December 2012	UNAMA Mission Support Office in Kuwait	Satisfactory	–	2
UNAMI	29 November 2012	Management of engineering projects in UNAMI	Unsatisfactory	5	2
	12 February 2013	Travel activities in UNAMI	Partially satisfactory	–	3
UNAMID	25 July 2012	Human rights programme in UNAMID	Partially satisfactory	–	1
	9 August 2012	Administrative and financial support for the Joint Mediation Support Team	Partially satisfactory	–	2
	13 August 2012	Budget formulation and management in UNAMID	Partially satisfactory	–	3
	26 November 2012	Fuel management in UNAMID	Satisfactory	–	–
	20 June 2013	Safety and security in UNAMID	Unsatisfactory	3	6
UNCC	10 December 2012	United Nations Compensation Commission claims payments	Satisfactory	–	–

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
UNCTAD	13 August 2012	UNCTAD arrangements for procuring and developing the Millennium system for the Automated System for Customs Data	Partially satisfactory	–	4
	26 November 2012	UNCTAD Division on Africa, Least Developed Countries and Special Programmes	Partially satisfactory	–	6
	19 December 2012	UNCTAD technical cooperation project on strengthening the debt management capacity of developing countries	Satisfactory	–	5
UNEP	24 December 2012	Overtime at UNEP	Partially satisfactory	–	2
	29 November 2012	Management of partnerships at UNEP	Satisfactory	–	–
	19 June 2013	Management of the ecosystem subprogramme in UNEP	Partially satisfactory	–	2
UNFCCC	27 November 2012	Conference management at the secretariat of the United Nations Framework Convention on Climate Change	Partially satisfactory	–	2
	27 March 2013	Travel activities at the United Nations Framework Convention on Climate Change	Partially satisfactory	–	3
UN-Habitat	25 March 2013	UN-Habitat Pakistan Office	Partially satisfactory	–	8
	27 March 2013	UN-Habitat field office in Haiti	Partially satisfactory	–	4
UNHCR	17 July 2012	UNHCR shelter programme for returnee internally displaced persons in Sri Lanka	Partially satisfactory	–	3
	17 July 2012	UNHCR reintegration cash grant programme for refugees returning to Sri Lanka	Satisfactory	–	–
	17 July 2012	UNHCR operations in Djibouti	Partially satisfactory	–	7
	14 August 2012	UNHCR regional representation for Southern Europe	Satisfactory	–	3
	14 August 2012	United Nations Office at Geneva contract for furniture supplies	Partially satisfactory	–	4
	21 August 2012	UNHCR operations in Japan	Satisfactory	–	–
	28 August 2012	UNHCR operations in Ecuador	Partially satisfactory	–	9
	6 September 2012	UNHCR operations in Gabon	Unsatisfactory	1	5
	11 September 2012	Consideration of staff in between assignments for temporary positions	Partially satisfactory	–	3
	23 October 2012	Financial management of UNHCR operations in Sri Lanka	Satisfactory	–	–
	26 November 2012	UNHCR operations in Cameroon	Partially satisfactory	–	4
	27 November 2012	UNHCR operations in Myanmar	Partially satisfactory	–	3
	27 November 2012	UNHCR operations in the Russian Federation	Partially satisfactory	–	6
5 December 2012	UNHCR operations in Burundi	Partially satisfactory	–	10	
10 December 2012	UNHCR operations in Zimbabwe	Unsatisfactory	2	7	
10 December 2012	UNHCR operations in Darfur	Partially satisfactory	–	9	

<i>Entity</i>	<i>Issue date</i>	<i>Audit report subject</i>	<i>Report rating</i>	<i>Number of recommendations</i>	
				<i>Critical</i>	<i>Important</i>
	10 December 2012	UNHCR financial management in Pakistan	Partially satisfactory	–	6
	10 December 2012	UNHCR operations in Serbia	Partially satisfactory	–	3
	18 December 2012	UNHCR private sector fundraising	Partially satisfactory	–	7
	18 December 2012	UNHCR operations in Rwanda	Partially satisfactory	–	7
	18 December 2012	UNHCR operations in Guinea	Partially satisfactory	–	5
	24 December 2012	UNHCR operations in Somalia	Unsatisfactory	3	1
	19 February 2013	Global stock management at UNHCR	Partially satisfactory	–	9
	27 February 2013	UNHCR programme implementation in Pakistan through implementing partners	Partially satisfactory	–	7
	25 March 2013	UNHCR operations in Ethiopia	Unsatisfactory	3	5
	25 March 2013	UNHCR operations in Tunisia	Partially satisfactory	–	4
	25 March 2013	UNHCR operations in Liberia	Partially satisfactory	1	5
	25 March 2013	Security of the Managing Systems Resources and People system	Partially satisfactory	–	10
	27 March 2013	UNHCR operations in Uganda	Partially satisfactory	–	4
	9 May 2013	UNHCR operations in India	Satisfactory	–	5
	20 May 2013	Programme and financial management of the UNHCR operations in Kenya	Partially satisfactory	–	5
	14 June 2013	UNHCR security budget management	Partially satisfactory	–	3
UNIDIR	11 September 2012	UNIDIR governance	Partially satisfactory	1	–
UNIFIL	15 August 2012	Contracts management in UNIFIL	Satisfactory	–	1
	7 February 2013	Human resources management in UNIFIL	Partially satisfactory	2	1
United Nations Centre for Preventive Diplomacy for Central Asia	9 August 2012	United Nations Centre for Preventive Diplomacy for Central Asia	Partially satisfactory	–	6
United Nations Logistics Base	19 March 2013	Information and communications technology infrastructure supporting the implementation of the International Public Sector Accounting Standards and Umoja	Unsatisfactory	3	10
UNJSPF	10 December 2012	UNJSPF actuarial process and methodology	Satisfactory	–	–
UNJSPF-IMD	26 July 2012	Riskmetrics system	Satisfactory	–	–
	31 December 2012	Preparedness for alternative investments	Partially satisfactory	–	4
UNMIL	22 August 2012	UNMIL payments processing	Satisfactory	–	2

Entity	Issue date	Audit report subject	Report rating	Number of recommendations	
				Critical	Important
	28 December 2012	Local committee on contracts in UNMIL	Satisfactory	–	3
UNMIS	19 December 2012	Environmental activities in UNMIS liquidation process	Partially satisfactory	–	1
UNMISS	19 December 2012	Construction of the United Nations House in Juba	Unsatisfactory	2	4
	21 January 2013	Safety and security of United Nations staff and premises in UNMISS	Unsatisfactory	6	5
	20 May 2013	Facilities management in UNMISS	Unsatisfactory	3	5
UNMIT	6 September 2012	Procurement activities in UNMIT	Partially satisfactory	–	3
	24 December 2012	Gender affairs programme in UNMIT	Partially satisfactory	–	2
	7 February 2013	Environmental activities in the UNMIT drawdown process	Satisfactory	–	2
	28 March 2013	Phasing out of human resources in UNMIT during the drawdown period	Satisfactory	–	2
	20 May 2013	Asset disposal in UNMIT	Partially satisfactory	–	2
UNOCI	6 November 2012	Medical services in UNOCI	Partially satisfactory	–	5
	7 February 2013	United Nations police capacity-building programmes in UNOCI	Partially satisfactory	2	3
UNODC	5 November 2012	UNODC operations in Pakistan	Partially satisfactory	–	2
	5 November 2012	UNODC operations in Colombia	Partially satisfactory	–	2
	29 May 2013	UNODC operations in Southern Africa	Partially satisfactory	–	8
UNOG	20 July 2012	Mail operations at the United Nations Office at Geneva	Partially satisfactory	–	3
	30 August 2012	United Nations Office at Geneva contract for cleaning services	Partially satisfactory	–	4
	3 October 2012	Recruitment practices in the United Nations Office at Geneva Security and Safety Service	Partially satisfactory	–	3
	19 March 2013	Air travel activities and related practices at the United Nations Office at Geneva	Partially satisfactory	–	5
UNON	5 November 2012	Management of conference services at the United Nations Office at Nairobi	Partially satisfactory	–	2
	3 December 2012	Business continuity and disaster recovery planning at the United Nations Office at Nairobi	Partially satisfactory	–	8
	25 March 2013	Travel activities at the United Nations Office at Nairobi	Partially satisfactory	–	2
UNOV	25 July 2012	Conference services funding and costing arrangements at the United Nations Office at Vienna	Partially satisfactory	–	3
	16 August 2012	United Nations Office at Vienna contract for travel services	Partially satisfactory	–	3
UNSCO	17 October 2012	Human resources management in UNSCO	Partially satisfactory	–	3
	26 November 2012	Safety and security in UNSCO	Partially satisfactory	–	6
UNSCOL	22 March 2013	Human resource management in UNSCOL	Partially satisfactory	–	4
UNSOA	22 March 2013	Control procedures established by UNSOA for equipment and supplies provided in support of AMISOM	Partially satisfactory	–	7
	29 May 2013	Construction of facilities and infrastructure in support of AMISOM	Partially satisfactory	–	7
UNTSO	12 February 2013	Procurement activities in UNTSO	Unsatisfactory	4	5

C. Reports to programme managers issued by the Investigation Division, excluding closure reports

<i>Entity</i>	<i>Issue date</i>	<i>Report subject</i>
DFS	11 July 2012	Contingent report on sexual exploitation and abuse by peacekeepers at UNOCI
	13 July 2012	Contingent report on assault and theft by peacekeepers at MINUSTAH
	19 July 2012	Contingent report on sexual exploitation by a peacekeeper at the former MONUC
	23 July 2012	Contingent report on attempted theft by a peacekeeper at UNAMID
	23 July 2012	Contingent report on attempted fraud by peacekeepers at UNOCI
	8 August 2012	Contingent report on sexual abuse of a minor by a peacekeeper at the former MONUC
	9 August 2012	Contingent report on sexual exploitation and abuse by peacekeepers at UNOCI
	9 August 2012	Contingent report on sexual exploitation and abuse by peacekeepers at UNMIL
	22 October 2012	Contingent report on sexual abuse of a minor by a peacekeeper at the former MONUC
	7 February 2013	Contingent report on sexual exploitation and abuse and other misconduct by peacekeepers at MINUSTAH
	7 February 2013	Contingent report on possession and trafficking of ivory by peacekeepers at MONUSCO
	7 February 2013	Contingent report on sexual exploitation and abuse of minors by a peacekeeper at UNMIL
	8 February 2013	Contingent report on misconduct by a peacekeeper at UNIFIL
	1 March 2013	Contingent report on fuel fraud by peacekeepers at MONUSCO
	4 March 2013	Contingent report on sexual exploitation by a peacekeeper at MONUSCO
	4 March 2013	Contingent report on sexual abuse by a peacekeeper at MONUSCO

<i>Entity</i>	<i>Issue date</i>	<i>Report subject</i>
	25 March 2013	Contingent report on sexual abuse by a peacekeeper at MONUSCO
	9 May 2013	Contingent report on theft of fuel by a peacekeeper at UNIFIL
	9 May 2013	Contingent report on sexual exploitation by peacekeepers at MONUSCO
	9 May 2013	Contingent report on misconduct by a peacekeeper at MONUSCO
	10 May 2013	Contingent report on fraud by a peacekeeper at MONUSCO
	10 May 2013	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	10 May 2013	Contingent report on ivory possession by a peacekeeper at MONUSCO
	14 June 2013	Contingent report on misconduct by peacekeepers at MONUSCO
	11 July 2012	Investigation report on the sexual abuse of minors by a staff member at the former UNMIS
	19 July 2012	Investigation report on education grant fraud by a former staff member at the United Nations Interim Administration Mission in Kosovo
	19 July 2012	Investigation report on conflict of interest by a former staff member at UNAMA
	19 July 2012	Investigation report on misconduct by a United Nations military observer at the former UNMIS
	19 July 2012	Investigation report on misappropriation of training funds by United Nations police officers at the former UNMIS
	19 July 2012	Investigation report on the sexual exploitation and abuse of a minor by a formed police unit officer at the former MONUC
	19 July 2012	Investigation report on misappropriation of training funds by United Nations police officers at the former UNMIS
	8 August 2012	Investigation report on sexual exploitation and abuse and other misconduct by a military observer at UNMIL

<i>Entity</i>	<i>Issue date</i>	<i>Report subject</i>
	8 August 2012	Investigation report on misconduct by a United Nations military observer at the former UNMIS
	15 August 2012	Investigation report on sexual exploitation and abuse of a minor by a staff member at UNMIL
	23 August 2012	Investigation report on misconduct by a staff member at UNSOA
	3 October 2012	Investigation reports on extortion by a former staff member and individual contractors at MINUSTAH
	22 October 2012	Investigation report on attempted fraud by a staff member at UNMIL
	29 November 2012	Investigation report on possible sexual exploitation and abuse by a formed police unit officer at MINUSTAH
	10 December 2012	Investigation report on sexual exploitation and abuse by a United Nations police officer at UNOCI
	2 January 2013	Investigation report on the assault of a female staff member by an individual contractor at UNMIL
	7 February 2013	Investigation report on sexual exploitation and abuse by members of a formed police unit at UNOCI
	7 February 2013	Investigation report on the sexual abuse of a minor by a staff member at MONUSCO
	7 February 2013	Investigation report on sexual exploitation and abuse, failing to report arrest and providing false information by a staff member at MINUSTAH
	18 February 2013	Investigation report on unauthorized outside activity by a staff member at MONUSCO
	7 March 2013	Investigation report on sexual exploitation and abuse by a United Nations police officer at UNOCI
	8 March 2013	Investigation report on the abduction and sexual abuse of a minor by a United Nations Volunteer at the former MONUC

<i>Entity</i>	<i>Issue date</i>	<i>Report subject</i>
	25 March 2013	Investigation report on submission of false employment and education details in recruitment by a staff member at UNSOA
	25 March 2013	Investigation report on the misuse of information and communications technology resources at the former UNMIS
	1 May 2013	Investigation report on possible misuse of United Nations funds by a former staff member at MINUSTAH
	1 May 2013	Investigation report on the evasion of customs duties and misuse of United Nations air assets and tax privileges by a staff member at UNMIL
	1 May 2013	Investigation report on the misuse of a movement of personnel document by a staff member at the former MONUC
	6 May 2013	Investigation report on the unauthorized release of confidential documents by a staff member at MONUSCO
	6 May 2013	Investigation report on the acquisition of a forged passport by a staff member at UNAMI
	9 May 2013	Investigation report on fuel fraud by a staff member at UNMIT
	9 May 2013	Investigation report on fuel fraud by a former United Nations Volunteer at UNMIT
	9 May 2013	Investigation report on sexual assault by a United Nations police officer at UNMIT
	16 May 2013	Investigation report on misuse of office by a staff member at UNOCI
	16 May 2013	Investigation report on the abduction and sexual abuse of a minor by a staff member at the former MONUC
	23 May 2013	Investigation report on extortion by a staff member at BINUCA
	30 May 2013	Investigation report on forgery and procurement fraud by a staff member at UNSOA

<i>Entity</i>	<i>Issue date</i>	<i>Report subject</i>
	14 June 2013	Investigation report on sexual abuse of a minor by a staff member of a United Nations vendor at MONUSCO
	14 June 2013	Investigation report on sexual abuse of a minor by an employee of a United Nations contractor at the former MONUC
DGACM	6 February 2013	Investigation report on inappropriate behaviour by a staff member at Headquarters
	14 March 2013	Investigation report on alleged retaliation by a staff member in the Department of General Assembly and Conference Management
DM	11 July 2012	Investigation report on medical insurance fraud by a former staff member of UNEP
	5 February 2013	Investigation report on corruption by a local vendor that solicited business with UNMIL
	1 May 2013	Investigation report on failure to declare an outside business interest by a staff member in the Office of Human Resources Management
DPA	27 March 2013	Investigation report on misuse of information and communications technology resources and data by a staff member at Headquarters
DPI	26 July 2012	Investigation report on procurement irregularities, conflict of interest and misuse of information and communications technology resources by a staff member at a United Nations information centre
ECE	4 December 2012	Investigation report on a false travel claim, conflict of interest and undue receipt of financial benefits by a staff member at the Economic Commission for Europe
ECLAC	16 May 2013	Investigation report on medical insurance fraud by a staff member at the Economic Commission for Latin America and the Caribbean
ICTR	6 February 2013	Investigation report on retaliation at the International Criminal Tribunal for Rwanda
	12 March 2013	Investigation report on outside activities by staff members at the International Criminal Tribunal for Rwanda (11 reports)

<i>Entity</i>	<i>Issue date</i>	<i>Report subject</i>
International Independent Investigation Commission	30 January 2013	Investigation report on fraudulent goods supplied by a vendor to the International Independent Investigation Commission (2 reports)
OCHA	20 June 2013	Investigation report on misuse of telecommunication resources and misallocation of charges by a staff member in the Office for the Coordination of Humanitarian Affairs
	26 March 2013	Preliminary findings on the possible misappropriation of funds by an implementing partner
UNEP	7 January 2013	Investigation report on undue private gain, outside activity, abuse of position and conflict of interest by a staff member at UNEP in Nairobi
	1 May 2013	Investigation report on abuse of position and conflict of interest by a staff member at UNEP
	1 May 2013	Investigation report on possible retaliation by a staff member at UNEP
UNIDO	16 October 2012	Investigation report on misconduct by a staff member at UNIDO
UNOG	25 March 2013	Investigation report on embezzlement by a staff member at the United Nations Office at Geneva
	1 May 2013	Investigation report on misuse of telecommunication resources by a staff member at the United Nations Office at Geneva
UNON	20 June 2013	Investigation report on unauthorized outside activities, misrepresentation and other misconduct by a staff member at the United Nations Office at Nairobi

D. Closure reports issued by the Investigations Division

<i>Entity</i>	<i>Issue date</i>	<i>Closure report subject</i>
DFS	16 July 2012	Fraud by a staff member at UNMIL
	16 July 2012	Fraudulent issuance of United Nations identity cards at UNMIL
	16 July 2012	Fraud by a staff member at UNMIL
	23 July 2012	Misconduct by peacekeepers at the former MONUC
	23 July 2012	Sexual abuse by a staff member at the former UNMIS
	23 July 2012	Fuel theft by peacekeepers at MONUSCO
	23 July 2012	Engagement of a vendor without a contract by a staff member at UNAMID
	23 July 2012	Sexual abuse of a minor by a casual daily worker at MONUSCO
	24 July 2012	Issuance of fraudulent movement of personnel forms at the former MONUC
	25 July 2012	Reported sexual exploitation and abuse by a contractor at UNMIL
	31 July 2012	Possible misconduct by staff members at MINUSTAH
	1 August 2012	Sexual abuse of a minor by a staff member at MONUSCO
	1 August 2012	Irregularities in payments made to casual daily workers at the former MONUC
	9 August 2012	Misuse of office by a staff member at the former UNMIS
	9 August 2012	Sexual exploitation by a staff member at UNMIL
	10 August 2012	Solicitation of bribes by a staff member at BINUCA
	22 August 2012	Sexual abuse of a minor by a peacekeeper at the former MONUC
	28 August 2012	Solicitation of a bribe by a staff member at the former MONUC

<i>Entity</i>	<i>Issue date</i>	<i>Closure report subject</i>
	28 August 2012	Sexual abuse of a minor by a staff member at the former MONUC
	7 December 2012	Sexual exploitation and abuse of minors by a staff member at MONUSCO
	7 December 2012	Submission of a fraudulent claim for mission subsistence allowance by a staff member at UNAMI
	7 December 2012	Fraudulent issuance of an entry visa by a staff member at the former UNMIS
	11 December 2012	Reported collusion with a vendor by a staff member at the former MINURCAT
	11 December 2012	Fuel theft at the former MONUC
	11 December 2012	Misrepresentations by former staff members at the former MONUC
	11 December 2012	Sexual exploitation and abuse of a minor by a United Nations Volunteer at the former MONUC
	11 December 2012	Unauthorized transportation of cargo at the former UNMIS
	11 December 2012	Fraudulent education grant claim at UNIFIL
	18 February 2013	Procurement irregularities by staff members at the former MONUC
	18 February 2013	Irregular aviation clearance by staff members at MONUSCO
	22 February 2013	Irregularities in procurement at the former UNMIS
	27 February 2013	Possible collusion by United Nations-registered vendors at Headquarters
	4 March 2013	Involvement in outside activity by a staff member at the United Nations Integrated Peacebuilding Office in Sierra Leone
	4 March 2013	Misconduct by a staff member at UNSOA
	25 March 2013	Procurement irregularities at UNAMID
	25 March 2013	Theft and sexual exploitation by a staff member at the former UNMIS

<i>Entity</i>	<i>Issue date</i>	<i>Closure report subject</i>
	25 March 2013	Abuse of authority by a staff member at the former UNMIS
	25 March 2013	Investigation irregularities by staff members at MINUSTAH
	27 March 2013	Extortion by staff members at MINUSTAH
	1 April 2013	Sexual exploitation and abuse at MONUSCO
	9 April 2013	Reported arms trading by a United Nations Volunteer at UNMIL
	16 April 2013	Theft and waste of resources at UNMIL
	17 April 2013	Entitlement fraud at UNMIT
	2 May 2013	Sexual abuse of a minor by a staff member at the former MONUC
	6 May 2013	Misuse of office by staff members at UNOCI
	21 May 2013	Sexual abuse of a minor by a United Nations staff member at MONUSCO
	21 May 2013	Sexual abuse of a minor by an employee of a vendor at UNMISS
	21 May 2013	Sexual exploitation and abuse of a minor by a United Nations Volunteer at MONUSCO
	28 May 2013	Medical insurance fraud by a staff member at UNIFIL (10 reports)
	28 May 2013	Medical insurance fraud by a former staff member at UNIFIL (4 reports)
	29 May 2013	Reported sexual abuse of minors by a staff member at MONUSCO
	29 May 2013	Medical insurance fraud by a staff member at UNIFIL (6 reports)
	6 June 2013	Medical insurance fraud by a staff member at UNIFIL
DM	23 July 2012	Misconduct by a staff member at the Global Compact Office
	23 July 2012	Attempted fraud by a vendor at the Secretariat
	25 March 2013	Misrepresentation by a registered vendor at Headquarters

<i>Entity</i>	<i>Issue date</i>	<i>Closure report subject</i>
DPA	26 July 2012	Theft at the former United Nations Integrated Office in Sierra Leone
DSS	18 October 2012	Possible security breaches by staff members at Headquarters
ESCWA	9 April 2013	Recruitment irregularities by a staff member at the Economic and Social Commission for Western Asia
OLA	11 December 2012	Political activity of a United Nations staff member at Headquarters
UNCTAD	11 July 2012	Recruitment irregularities by a staff member at UNCTAD
	23 July 2012	Recruitment irregularities by a staff member at UNCTAD
	25 July 2012	Recruitment irregularities by a staff member at UNCTAD
UNDP	18 February 2013	Fraudulent security evacuation allowance claim by a staff member at the former UNMIS
UNEP	7 December 2012	Failure to report a conflict of interest by a staff member at UNEP
UNOG	16 August 2012	Unauthorized e-mail access by a staff member at the United Nations Office at Geneva
	11 December 2012	Unauthorized access of an e-mail account at the United Nations Office at Geneva
	13 December 2012	Financial irregularities at the United Nations Office at Geneva
	17 April 2013	Possible misconduct by a staff member at the United Nations Office at Geneva
UNON	23 July 2012	Travel claim irregularities by staff members at the United Nations Office at Nairobi
	7 December 2012	Possible misappropriation of funds by staff members at the United Nations Office at Nairobi
	11 December 2012	Solicitation of bribes from vendors by a staff member at the United Nations Office at Nairobi
	25 March 2013	Possible procurement irregularities at the United Nations Office at Nairobi

<i>Entity</i>	<i>Issue date</i>	<i>Closure report subject</i>
	26 March 2013	Labour exploitation by United Nations contractors and procurement irregularities by staff members at the United Nations Office at Nairobi
	30 May 2013	Procurement irregularities at the United Nations Office at Nairobi
UNOV	16 October 2012	Unauthorized leave outside duty station by a staff member at the United Nations Office at Vienna

E. Reports to programme managers issued by the Inspection and Evaluation Division

<i>Entity</i>	<i>Issue date</i>	<i>Report subject</i>
DM	23 July 2012	Adequacy assessment of the 2008-2009 strategic framework: Secretariat Integrated Monitoring and Documentation Information System data
DPKO/DFS	28 January 2013	Summary results of the Office of Internal Oversight Services meta-evaluation of United Nations peacekeeping evaluations
OCHA	20 September 2012	Inspection of programme-level monitoring and evaluation of OCHA
UNEP	16 October 2012	Inspection of programme-level monitoring and evaluation of UNEP
United Nations Population Fund	31 July 2012	Review of the evaluation policy of the United Nations Population Fund